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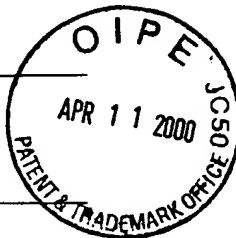
Attorney Docket No.: 19496-003200US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On April 6, 2000

TOWNSEND and TOWNSEND and CREW LLP

By: Jennifer K. Hardin



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Case et al.

Application No.: 09/456,100

Filed: December 6, 1999

For: METHODS OF USING  
RANDOMIZED LIBRARIES OF ZINC  
FINGER PROTEINS FOR THE  
IDENTIFICATION OF GENE  
FUNCTION

Examiner: Unassigned

Art Unit: 1631

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and



no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in cursive script that reads "Annette S. Parent".

Annette S. Parent  
Reg. No. 42,058

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FORM PTO-1449 (Modified)			Attorney Docket No.: 19496-003200US		Application No.: 09/456,100	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Case et al.			
			Filing Date: December 6, 1999		Group: 1631	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (Appropriate)
AA	5,939,538	08/17/99	Leavitt et al.	536	23.1	
AB						
AC						
AD						
AE						
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
AF	WO 97/27213	07/31/97	PCT	C07K	1/04	
AG	WO 97/27212	07/31/97	PCT	C07K	1/04	
AH	WO 99/41371	08/19/99	PCT	C12N	15/11	
AI						
AJ						
AK						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
AL	Beerli, R.R. et al., "Toward controlling gene expression at will: Specific regulation of the erbB-2/HER-2 promoter by using polydactyl zinc finger proteins constructed from modular building blocks," <i>Proc. Natl. Acad. Sci. USA</i> , 95:14628-14633 (1998)					
AM	Berg, J.M., "Letting your fingers do the walking," <i>Nature Biotechnology</i> , 15:323 (1997)					
AN	Choo, Y. et al., "In vivo repression by a site-specific DNA-binding protein designed against an oncogenic sequence," <i>Nature</i> , 372:642-645 (1994)					
AO	Kim, J. and Carl O. Pabo, "Transcriptional Repression by Zinc Finger Peptides," <i>Journal of Biological Chemistry</i> , 272(47):29795-29800 (1997)					
AP	Liu, Q. et al., "Design of polydactyl zinc-finger proteins for unique addressing within complex genomes," <i>Proc. Natl. Acad. Sci. USA</i> , 94:5525-5530 (1997)					
AQ	Pomerantz, J.L. et al., "Analysis of homeodomain function by structure-based design of a transcription factor," <i>Proc. Natl. Acad. Sci. USA</i> , 92:9752-9756 (1995)					
AR	Pomerantz, J.L. et al., "Structure-Based Design of Transcription Factors," <i>Science</i> , 267:93-96 (1995)					
AS	Rhodes, D. and Aaron Klug, "Zinc Fingers," <i>Scientific American</i> , pp. 56-65 (1993)					
AT	Gossen and Bujard, "Tight control of gene expression in mammalian cells by tetracycline-responsive promoters," <i>Proc. Natl. Acad. Sci. USA</i> , 89:5547-5551 (6/92)					
AU	Caponigro et al., "Transdominant genetice analysis of a growth control pathway", <i>Proc. Natl. Acad. Sci. USA</i> , 95:7508-7513 (June 98)					
AV	Hannon et al., "MaRX: An Approach to Genetics in Mammalian Cells," <i>www.sciencemag.org SCIENCE</i> , 283:1129-1130 (February 19, 1999)					
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.